

ENIT User Manual

NSEIL

January 2012



ELECTRONIC NSE INTERFACE FOR TRADING MEMBERS (ENIT)

**USER MANUAL
FOR
TRADING MEMBERS**



**NSEIL
EXCHANGE PLAZA
PLOT NO. C/1, G BLOCK
BANDRA-KURLA COMPLEX
BANDRA (E)
MUMBAI 400 051**

1-JANUARY-2012

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1. Introduction

ENIT or 'Electronic NSE Interface for Trading Members' is a fully electronic, internet enabled application. This interface provides a two way mechanism for exchange of information for various processes between the Trading Members and the Exchange.

This document explains the functionality available in ENIT and serves as guideline to users for using the application.

1.1 Scope

The scope of this manual includes.

- Navigation
- Administrator/User creation
- Details of various modules available in ENIT

1.2 Audience

This manual is for use by trading members who will use the system.

1.3 Abbreviations and acronyms

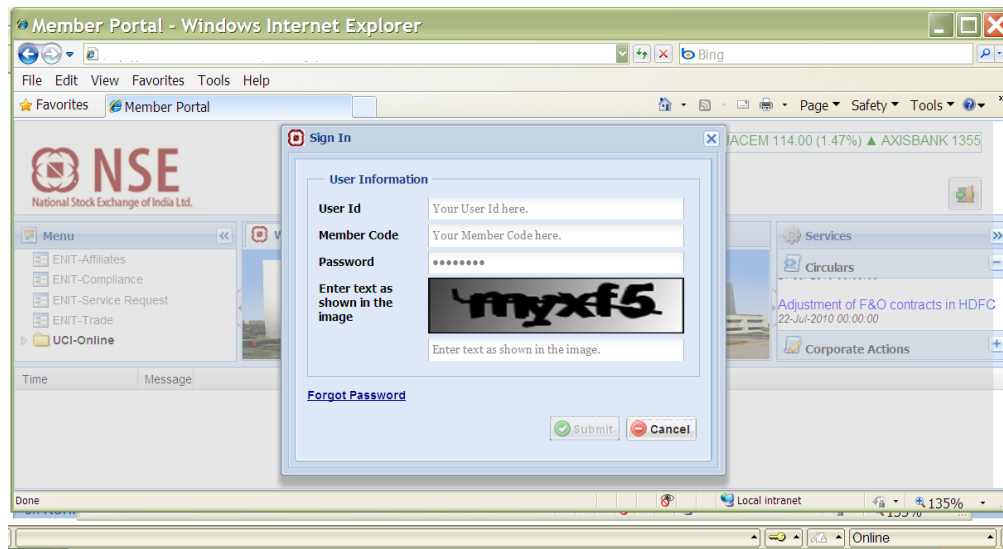
Acronym & Abbreviations	Full Form
NSEIL	National Stock Exchange of India Limited
ENIT	Electronic NSE Interface for Trading Members

1.4 Software Requirements:

ENIT can be accessed through the integrated member portal which is best viewed with IE versions 6 & above. Integrated Member Portal is not compatible with Firefox Mozilla browser.

1.5 Login Module

Users are authenticated on connecting to the Member Portal application > ENIT. Authentication is on the basis of unique User id, Member code, Password, captcha validation. On successful authentication, the user would be provided with list of menu options depending on user login type.



If wrong password is entered more than 3 times, the user id will get locked. To reset the password, the user has to login with the ‘Admin’ user id and reset the ENIT password. However, entering of wrong captcha is not considered as an invalid attempt.

User details have to be entered here. Length of User ID can be maximum upto 15 characters. Mandatory fields are User id, name and password. The password should follow the below mentioned rule

1. Minimum length 8.
2. At least a number
3. At least a Special character
4. At least a Lower case alphabet
5. At least a Upper case alphabet

2. ENIT - Getting Started

ENIT application has been divided into ENIT-Compliance, ENIT- Affiliates, ENIT-Service request and ENIT- Trade. With a valid User id and password, a sub-user would be able to access all the roles assigned to him with a single sign on. After clicking on ENIT-Service Request, all the modules for which the access is granted by the admin user to the ENIT sub user will reflect on the left hand side of the screen.

Various menus are displayed on the left hand side of the screen on the ENIT home page. The home page displays member information viz. member details, registered office, corporate office, Managing Director/ CEO details, Compliance officer details, Office details and Sub Broker details.

3 ENIT Modules

On clicking the 'Exchange' option on the home page, the following ENIT modules can be accessed:-

Sr. No.	ENIT Module Name	Service request name
1	Exchange	User Requests
2	Exchange	CTCL
3	Exchange	Sub Broker
4	Exchange	Authorized Person
5	Exchange	DMA
6	Exchange	Certification Details
7	Member	Member Contact Details
8	Member	Authorized Person Details
9	Digital Certificate	Configuration, FAQ, Digital Signature Guide
10	Document Repository	-
11	PAN Card Details	-
12	Communication Log	-
13	Downloads	-
14	Status Report	Request for Status Report

3.1 User Requests - This module pertains to the application for NEAT user Id requests. The member can apply for following type of user ID requests through this module:-

- Request for New User
- Change User Name
- Shifting of User ID
- Disable User ID
- Double Lock User ID
- Change User Status
- Enable/disable SLBM

The above mentioned requests can be submitted to the Exchange;

- a) With Digital Signature – Request can be submitted to the Exchange using digital signature. No physical documents need to be submitted to the Exchange.
- b) Without Digital Signature – Request can be submitted to the Exchange without digital signature. Physical documents need to be submitted to the Exchange for further processing.

The screenshot shows the NSE ENIT portal interface. On the left is a navigation menu with the following items:

- ▼ User Requests
 - ▶ With Digital Sign
 - ▶ Without Digital Sign
 - > Active NEAT IDs
 - > Request Status
 - > NON NEAT Request Status
- ▶ CTCL
 - ▶ Annual Submission
 - ▶ Halfyearly Submission
 - ▶ Sub Broker
 - ▶ Authorised Person
 - ▶ VSAT
 - ▶ Status Report
 - ▶ DMA
 - ▶ TCP IP VSAT
 - ▶ Changes in Directors/Shareholders
 - ▶ Certification Details
 - ▶ CRM
 - ▶ Insurance

On the right, the 'New Notifications' section contains a link: 'To update contact details kindly Click Here'. Below this is the 'MEMBERS DETAILS' section, which is a form with the following fields:

Name
Old Name
CODE
SEBI Registration no
SEBI Registration Date
Segment
Year of Incorporation
Year of NSE Membership
Constitution
Status
Listed
IG Email ID

3.2 CTCL – The reporting of 12 digit CTCL id's viz. activation / deactivation / modification and the relevant reports can be accessed through this module. The type of service requests available under the CTCL module is mentioned below;

- CTCL-ID Activation
- CTCL-ID Deactivation
- CTCL Report
- CTCL Mismatches
- Active CTCL IDs
- CTCL Bulk Upload
- CTCL Location Mapping
- CTCL Location Mapping Status
- CTCL-ID Modification

NSE ENIT	
- User Requests - CTCL - CTCL-ID Activation - CTCL-ID Deactivation - CTCL Report - CTCL Mismatches - Active CTCL IDs - CTCL Bulk Upload - CTCL Location Mapping - CTCL Location Mapping Status - CTCL-ID Modification - Annual Submission - Halfyearly Submission - Sub Broker - Authorised Person - VSAT - Status Report - DMA - TCP IP VSAT	New Notifications To update contact details kindly Click Here MEMBERS DETAILS Name Old Name CODE SEBI Registration no SEBI Registration Date Segment Year of Incorporation Year of NSE Membership Constitution Status Listed IG Email ID

3.3 Sub Broker – The “sub broker” module on ENIT consists of the following;

- Sub Broker master
- Sub Broker Details
- Change in affiliation
- Change in affiliation report
- Sub broker cancellation
- Sub broker cancellation details

NSE ENIT	
- User Requests - CTCL - Annual Submission - Halfyearly Submission - Sub Broker - Sub-Broker Master - Sub-Broker Details - Change in affiliation - Change in affiliation Report - Sub-Broker Cancellation - Sub-Broker Cancellation Details - Authorised Person - VSAT - Status Report - DMA - TCP IP VSAT - Changes in Directors/Shareholders - Certification Details - CRM - Insurance	New Notifications To update contact details kindly MEMBERS DETAILS Name Old Name CODE SEBI Registration no SEBI Registration Date Segment Year of Incorporation Year of NSE Membership Constitution Status Listed IG Email ID REGISTERED OFFICE Registered Office Address

3.4 Authorized Person - Member can place an online request for appointment of Authorized Person and Cancellation of Authorized Person. The Authorized person module consists of the following:-

- Authorized Person Master
- Authorized Person Master – Digital Sign
- Cancellation of Authorized Person
- Authorized person Details
- Cancellation of Authorized Person – Digital Sign
- Authorized person cancellation Details

The above mentioned requests can be submitted to the Exchange;

- a) With Digital Signature – Request can be submitted to the Exchange using digital signature. No physical documents need to be submitted to the Exchange.
- b) Without Digital Signature – Request can be submitted to the Exchange without digital signature. Physical documents need to be submitted to the Exchange for further processing.

NSE ENIT

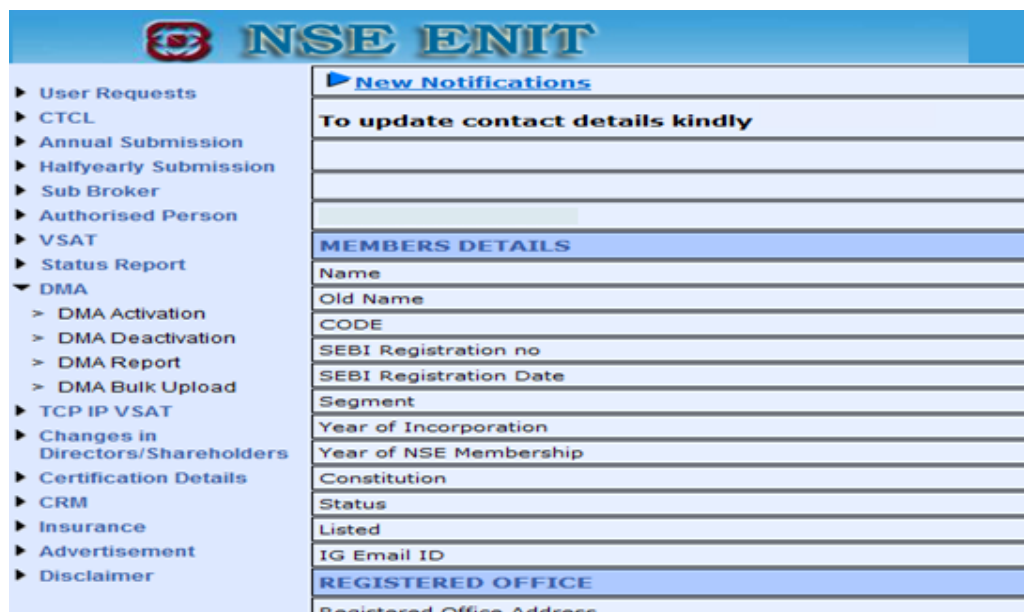
▶ User Requests
 ▶ CTCL
 ▶ Annual Submission
 ▶ Halfyearly Submission
 ▶ Sub Broker
 ▼ **Authorised Person**
 > Authorised Person Master
 > Authorised Person Master-DigiSign
 > Authorised Person Details
 > Cancellation of Authorised Person
 > Cancellation of Authorised Person DigiSign
 > Authorised Person Cancellation Details
 ▶ VSAT
 ▶ Status Report
 ▶ DMA
 ▶ TCP IP VSAT
 ▶ Changes in

▶ **New Notifications**

To update contact details kindly [Click Here](#)

MEMBERS DETAILS	
Name	
Old Name	
CODE	
SEBI Registration no	
SEBI Registration Date	
Segment	
Year of Incorporation	
Year of NSE Membership	
Constitution	
Status	
Listed	
IG Email ID	
REGISTERED OFFICE	
Registered Office Address	

3.5 Direct Market Access (DMA) – Requests for activation and deactivation of DMA terminals can be placed through this option. In addition to this, a DMA Report is also available wherein the member can check for the details of the ids. DMA bulk upload facility is also available wherein a member can upload multiple ids.



The screenshot shows the NSE ENIT web interface. On the left, a sidebar menu lists various user requests. The 'DMA' option is expanded, showing sub-options: 'DMA Activation', 'DMA Deactivation', 'DMA Report', and 'DMA Bulk Upload'. The 'DMA Report' option is selected, leading to a 'MEMBERS DETAILS' form. The form contains fields for Name, Old Name, CODE, SEBI Registration no, SEBI Registration Date, Segment, Year of Incorporation, Year of NSE Membership, Constitution, Status, Listed, IG Email ID, and Registered Office Address. A 'New Notifications' section at the top right indicates a need to update contact details.

3.6 Certification details – A member can check the affiliation details of any certificate under this option. The facility enables the member to check whether the certificate is affiliated to any other trading member before applying for a user id.



The screenshot shows the 'Registration Details' form in the NSE ENIT web interface. The form is titled 'Registration Details' and includes a timestamp '28-Dec-2011 12:26:14 PM'. It contains two main sections: 'Certification Details' and 'Registration No.'. The 'Certification Details' section has radio buttons for 'NCFM' (selected) and 'OTHER'. The 'Registration No.' section has a dropdown menu for 'NCFM-' and a text input field. A 'Submit' button is located at the bottom right. Below the form, there is a message: 'Please Enter Registration number to fetch Registration and Affiliation details'. Two dropdown menus are visible: 'Registration Details' and 'Affiliation details'.

3.7 Member Contact Details - Under the Members tab on the ENIT home page, the Member Contact Details are made available wherein the following details are reflected:-

- CEO
- Compliance Officer
- Correspondence Office
- IG Email ID Details
- Sub Broker Email-id

The member can update the above mentioned details and the same will be reflected on ENIT, the following day.

NSE ENIT	
▶ CTCL ▼ Member Contact Details > CEO > Compliance Officer > Correspondence Office > IG Email ID Details > Sub-Broker Email-Ids ▶ Authorized Person Details ▶ Arbitration ▶ Disclaimer	▶ New Notifications To update contact details kindly Click Here MEMBERS DETAILS Name Old Name CODE SEBI Registration no SEBI Registration Date Segment Year of Incorporation Year of NSE Membership Constitution Status Listed IG Email ID

3.8 Authorized Person Details - The Authorized Person list can be accessed under Members > Authorized Person Details. A download facility is also available wherein a member can download the list of Authorized Persons.

NSE ENIT	
▶ CTCL ▶ Member Contact Details ▼ Authorized Person Details > Authorized Person List ▶ Arbitration ▶ Disclaimer	▶ New Notifications To update contact details kindly Click Here MEMBERS DETAILS Name Old Name CODE SEBI Registration no SEBI Registration Date Segment Year of Incorporation Year of NSE Membership Constitution Status Listed IG Email ID REGISTERED OFFICE

3.9 Digital Certificate – The digital signature module of ENIT lays down the procedure, FAQ's and the digital signature guide which consists of the step wise procedure to configure the digital signature.

3.10 Document Repository - Document Repository is a storehouse of valid documents in the Exchange. The creation of the Repository is aimed at ensuring that a document once submitted to the Exchange would reside in the Repository till its validity period. The member can view all the valid documents and can submit latest documents as per requirement.

List of Approved Documents				
Send New Document()				
S.No	RefNO	Document Type	Submission Date	As On
1	2069_DOCREPOSITORY_1661	Share holding pattern of applicant & Holding Company	24-OCT-2011	-

3.11 PAN Card Details – The member can update the PAN details of self, sub brokers and authorized persons using this option.

Welcome ENIT 28-Dec-2011 12:37:05 PM

(* Indicates Mandatory)

Update PAN Card Details

Member Code	null
Member Name	null
Category	--Select-- *
Pan Card No	*

SUBMIT RESET

3.12 Communication Log – Contains general communication sent to the member.

NSE ENIT 28-Dec-2011 12:38:12 PM

Communication Log

Department : ---SELECT--- Communication From : ---SELECT---

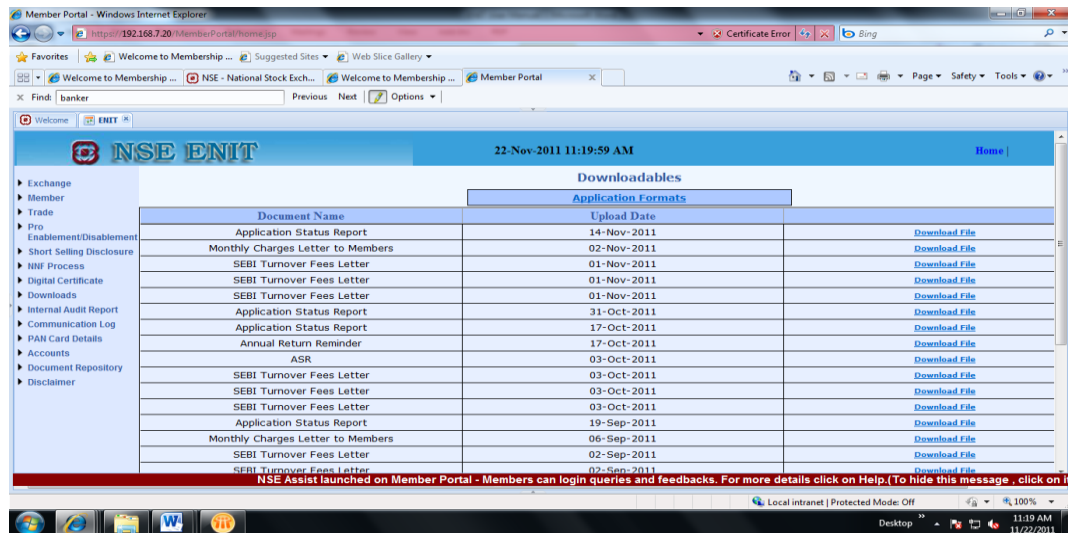
Reply Status : ---SELECT--- Type of Request : ---SELECT---

From Date: 28-Dec-2011 To Date: 28-Dec-2011

Export Data | [EXCEL](#)

Communication Ref No	Communication Type	Department	Subject	Sta
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3.13 Downloads – Under the “downloads” option, member specific letters like Application Status Report, SEBI Turnover Fees Letter, Monthly charges letter etc. are downloaded to members on ENIT.



3.14 Status Report – Member can apply for Status Report to the Exchange by giving a request in this module under the Exchange tab in ENIT which consists of the following:-

- Request for Status Report
- Status Report Details

3.15 IBT/STWT/ SOR Volume Confirmation-Under this option, trading members shall confirm the segment-wise data of 'Number of Trades' and 'Traded Value (Rs. in Crores)' as provided by the Exchange. In the event of any discrepancy observed by members in the data, members are required to modify the data 'Number of Trades, and 'Traded Value' (Rs. in Crores) using 'Modify' option provided in NSE ENIT.

Segment	Trade Date	No of Trades	Traded Value (Rs. in Cr.)	Accept/Modify	Remark
FNO	25-FEB-2008	2561	1254.25	Modify	Trade cancelled
		2560	1250.25		
CM	26-FEB-2008	2624	1287.25	Accept	
FNO	26-FEB-2008	3102	1842.25	Modify	Member did not report to Exchange
		3200	1900		
CM	27-Feb-2008	4102	27.52	Accept	
FNO	27-Feb-2008	4012	52	Accept	

No. of Registered Clients in CM: 256
No. of Registered Clients in FNO: 257

Prev Save

To be filled by member in case of difference with exchange provided figures by choosing modify option & stating reason in Remark box

Exchange provided figures

Drop down to Accept or Modify Exchange provided figures

3.16 Pro Enablement/ Pro Disablement – Under this option, members can requests for proprietary account trading applications electronically. Further, it may be noted that digital signature is imperative for accepting the applications through ENIT at the Exchange. Hence, it is mandatory that all the applications sent electronically through NSE ENIT contain digital signature as allotted by the Exchange to Authorised personnel of the trading firm.

Default location application: - Member notify details of default location to the Exchange through 'Default Location Activation' option.

User Module - Microsoft Internet Explorer provided by NSEIL

http://172.20.6.230:7001/ENIT/UserFrame.jsp

MSN Search

User Module

File Edit View Favorites Tools Help

NSE ENIT 18-Dec-2008 02:37:36 PM Home | Logout

Default Location Activation

Member Name: [Text Field]

Sebi Registration Code: [Text Field]

Segment: [-SELECT--]

Connectivity: ☐ X.25 ☒ TCP-IP

List of Active Equipments: [---SELECT---]

Address Line1: [Text Field]

Address Line2: [Text Field]

Address Line3: [Text Field]

City: [Text Field]

PinCode: [Text Field]

Reset

Digital Certificate Password: [Text Field]

Certify Data Submit Cancel

Done

Internet

Once connectivity type is selected the list of active equipment ids will

Upon selecting the equipment id, postal address associated with it will automatically

Change in Default Location: - Members use this option to change their existing default location, if necessary.

User Module - Microsoft Internet Explorer provided by NSEIL

http://172.20.6.230:7001/ENIT/UserFrame.jsp

MSN Search

User Module

File Edit View Favorites Tools Help

NSE ENIT 18-Dec-2008 02:37:07 PM Home | Logout

Change In Default Location

Address Line1:

Address Line2:

Address Line3:

City:

PinCode:

New Location Details

Connectivity: ☒ X.25 ☐ TCP-IP

New location Equipments:

Address Line1:

Address Line2:

Address Line3:

City:

PinCode:

Reset

Digital Certificate Password:

Certify Data Submit Cancel

Done

Internet

Once connectivity type is selected the list of active equipment ids will

Upon selecting the equipment id, postal address associated with it will automatically appear

PRO Enablement:- Members use this request for placing Proprietary Account Enablement requests

NSE ENIT 18-Dec-2008 02:42:35 PM Home | Logout

Pro Enablement

▶ Users
 ▶ Exchange
 ▶ Member
 ▶ Trade
 ▶ Pro Enablement/Disablement
 > Default Location
 > Change Default Location
 > Pro Enablement
 > Pro Disablement
 > Pro Application Status
 > Default Location Application Status
 > Pro Status Report
 ▶ Digital Certificate
 ▶ Tools
 ▶ Short Selling Disclosure
 ▶ NNF Process
 ▶ Disclaimer
 ▶ Help

Member Name:	
Sebi Registration Code:	
Market Segment:	☐ CM ☒ F&O
Connectivity:	☐ X.25 ☒ TCP-IP
UserId:	1021
User Name:	MR. RAJIV M SHAH
Postal Address	
Address Line1:	TEST
Address Line2:	
Address Line3:	
City:	GURAT
PinCode:	
Location:	☒ Default ☐ Additional
Default Location Address	
Address Line1:	
Address Line2:	
Address Line3:	
City:	
PinCode:	
Connectivity details	
Primary:	10.179.3.98
Dual Locking(if any):	
Digital Certificate Password:	

Once connectivity type is selected, the list of User ids under that connectivity will appear

Upon selecting the user id, postal address associated with it will automatically appear

Upon selecting the user id, postal address associated with it will automatically appear

‘Postal Address’ and ‘Default Location Address’ will appear automatically on selecting the user id. If the option ‘Default’ is selected, then member should ensure that both ‘Postal Address’ and ‘Default Location Address’ is pertaining to the single location.

PRO Disablement: - Members use this request for placing Proprietary account Disablement requests

The screenshot shows the 'User Module' in Microsoft Internet Explorer, provided by NSEIL. The browser address bar shows 'http://172.20.6.230:7001/ENIT/UserFrame.jsp'. The page title is 'User Module'. The NSE ENIT logo is visible at the top left, and the date and time '18-Dec-2008 02:32:26 PM' are at the top right. A 'Home | Logout' link is also present. The left sidebar contains a navigation menu with options: Users, Exchange, Member, Trade, Pro Enablement/Disablement (selected), Default Location, Change Default Location, Pro Enablement, Pro Disablement, Pro Application Status, Default Location Application Status, Digital Certificate, Tools, Short Selling Disclosure, NNF Process, Disclaimer, and Help. The main content area is titled 'Pro Disablement'. It contains several form fields: Member Name (text box), Sebi Registration Code (text box), Market Segment (radio buttons for CM and F&O, with CM selected), Connectivity (radio buttons for X.25 and TCP-IP, with TCP-IP selected), UserId (dropdown menu showing '---SELECT---'), User Name (text box), Postal Address (Address Line1, Address Line2, Address Line3, City, PinCode), Default Location Address (Address Line1, Address Line2), and Digital Certificate Password (text box). At the bottom are three buttons: 'Certify Data', 'Submit', and 'Cancel'. Two callout boxes provide additional information: one points to the 'UserId' dropdown stating 'Once connectivity type is selected, the list of User ids under that connectivity will appear', and another points to the 'Postal Address' fields stating 'Upon selecting the user id, postal address associated with it will automatically appear'.

Further, members can view the audit trail of Proprietary Account Enablement and Proprietary Account Disablement requests through the option 'Pro Application Status' report.

Pro Status Report:- Pro Status Report has been provided on the ENIT front-end. Members may use this option to view the final Pro-status of their user ids in each segment as on current date.

Pro Status Report

Please find below the Latest Pro Status of User Ids

Export Data | [EXCEL](#)

User Id	Segment	Location	Pro Status
6017	F	DEFAULT	D
12936	F	DEFAULT	E

For queries pertaining to the above mentioned modules kindly get in touch with the membership department on +91 22 2659 8249 or email id - minward@nse.co.in